



PATENT

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6-21-01

## UNITED STATES PATENT AND TRADEMARK OFFICE

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| Atty. Dkt. No:   | 5181-65700   |
| Client Ref. No.: | P5014  |
| Inventor(s):     | Saulpaugh, et al.  |
| Serial No.:      | 09/692,765   |
| Filing Date:     | October 19, 2000   |
| Examiner:        | Unknown  |
| Group Art Unit:  | 2181   |
| Title:           | EVENT MESSAGE<br>ENDPOINTS IN A<br>DISTRIBUTED<br>COMPUTING<br>ENVIRONMENT |

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## CERTIFICATE OF FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Robert C. Kowert

Name of Registered Representative

June 11, 2001  
Date

Signature

**POWER OF ATTORNEY BY ASSIGNEE  
AND REVOCATION OF PREVIOUS POWERS**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir/Madam:

**RECEIVED**  
JUN 19 2001  
Technology Center 2100

SUN MICROSYSTEMS, INC., ("assignee"), a Delaware corporation having a place of business at 901 San Antonio Road, Palo Alto, California 94303, certifies that to the best of assignee's knowledge and belief it is the assignee of the entire right, title, and interest in and to the above-referenced patent and represents that the undersigned is a representative authorized and empowered to sign on behalf of the assignee.

Assignee has reviewed the assignment documents that evidence the placement of title in the assignee, true and correct copies of which are attached hereto, and understands and believes that these assignment documents have been submitted for recordation in the U.S. Patent and Trademark Office.

Pursuant to 37 C.F.R. §1.36 and 3.71, the assignee hereby revokes all powers of attorney previously given and appoints

|                        |                 |
|------------------------|-----------------|
| Kenneth Olsen          | Reg. No. 26,493 |
| Timothy J. Crean       | Reg. No. 37,116 |
| Alexander E. Silverman | Reg. No. 37,940 |
| Anirna Rakshpal Gupta  | Reg. No. 38,275 |
| Sean P. Lewis          | Reg. No. 42,798 |
| Michael J. Schallop    | Reg. No. 44,319 |
| Bernice B. Chen        | Reg. No. 42,403 |
| Noreen A. Krall        | Reg. No. 39,734 |
| Richard J. Lutton, Jr. | Reg. No. 39,756 |
| Monica D. Lee Ward     | Reg. No. 40,696 |
| Marc D. Foodman        | Reg. No. 34,110 |
| Naren Chaganti         | Reg. No. 44,602 |
| Elaine Lee             | Reg. No. 41,936 |
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| Marilyn E. Glaubensklea | Reg. No. 35,521 |
| Andrew C. Chen          | Reg. No. 41,544 |
| Jeffrey L. Myers        | Reg. No. 44,252 |

*each of said attorneys being employed by Sun Microsystems; and*

|                    |                 |
|--------------------|-----------------|
| Dan R. Christen    | Reg. No. 39,943 |
| Gentry E. Crook    | Reg. No. 44,633 |
| Kevin L. Daffer    | Reg. No. 34,146 |
| Mark R. DeLuca     | Reg. No. 44,649 |
| Jeffrey C. Hood    | Reg. No. 35,198 |
| Robert C. Jahnke   | Reg. No. 44,800 |
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| Robert C. Kowert   | Reg. No. 39,255 |
| Lawrence J. Merkel | Reg. No. 41,191 |
| Eric B. Meyertons  | Reg. No. 34,876 |
| Louise K. Miller   | Reg. No. 36,609 |
| David W. Quimby    | Reg. No. 39,338 |
| David A. Rose      | Reg. No. 26,223 |

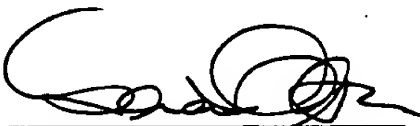
*each of said attorneys or agents being a member or an associate of the firm of Conley, Rose & Tayon, P.C., as attorney or agent for so long as they remain with such company or firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive the Letters Patent.*

Pursuant to 37 C.F.R. §3.71, the assignee hereby states that prosecution of the above-referenced patent application is to be conducted to the exclusion of the inventor(s).

Send all future correspondence to: Robert C. Kowert  
CONLEY, ROSE & TAYON, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
(512) 476-1400

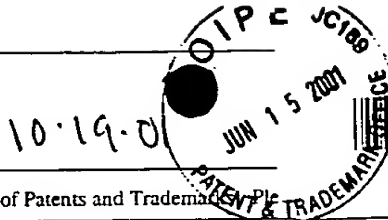
Assignee of Interest: SUN MICROSYSTEMS, INC.  
M/S PAL 01-521  
901 San Antonio Road  
Palo Alto, CA 94303

Dated: May 23, 2001

By:   
Name: Kenneth Olsen  
Reg. No.: 26,493  
Title: Vice President, Intellectual Property

02-05-2001

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



101604874

To the Honorable Commissioner of Patents and Trademarks

cof.

1. Name of conveying party(ies):

Thomas E. Saulpaugh  
Gregory L. Slaughter  
Eric Pouyoul

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

2. Name and address of receiving party(ies):

Name: Sun Microsystems, Inc.

Internal Address: \_\_\_\_\_

Street Address: 901 San Antonio Road

City Palo Alto State CA ZIP 94303

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 10/12/00, 10/9/00, 10/17/00

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09692765

14825 U.S. PTO  
09/692765



10/19/00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of this application is: 10/12/00, 10/9/00, 10/17/00

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert C. Kowert

Internal Address: Conley, Rose & Tayon, P.C.

Street Address: P.O. Box 398

City Austin State TX ZIP 78767-0398

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): .....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach a duplicate copy of this page if paying by deposit account)  
03-2769

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert C. Kowert

Name of Person Signing  
Reg. No. 39.255

[Signature]  
Signature

October 19, 2000

Date

Total number of pages: 3

OMB No. 0651-011 (exp.4/94)

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5181-65700

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APRIL 13, 2001

PTAS



\*101604874A\*

CONLEY, ROSE & TAYON, PC.  
ROBERT C. KOWERT  
PO BOX 398  
AUSTIN, TX 78767-0398

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/19/2000

REEL/FRAME: 011478/0218  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SAULPAUGH, THOMAS E.

DOC DATE: 10/12/2000

ASSIGNOR:

SLAUGHTER, GREGORY L.

DOC DATE: 10/09/2000

ASSIGNOR:

POUYOUL, ERIC

DOC DATE: 10/17/2000

ASSIGNEE:

SUN MICROSYSTEMS, INC.  
901 SAN ANTONIO ROAD  
PALO ALTO, CALIFORNIA 94303

SERIAL NUMBER: 09692765  
PATENT NUMBER:

FILING DATE: 10/19/2000  
ISSUE DATE:

APR 19 2001

011478/0218 PAGE 2

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to SUN MICROSYSTEMS, INC. (the "Assignee"), a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having its principal place of business at 901 San Antonio Road, Palo Alto, California 94303 their entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith, and is entitled, EVENT MESSAGE ENDPOINTS IN A DISTRIBUTED COMPUTING ENVIRONMENT, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

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P5014

COVENANT AND AGREE that the above is and will be binding on the heirs,  
assigns, representatives and successors of the undersigned and extend to the successors,  
assigns and nominees of the Assignee.

(Signature) Thomas E. Saulpaugh Date: 10-12-2000  
Name: Thomas E. Saulpaugh

(Signature) Gregory L. Slaughter Date: 10/9/2000  
Name: Gregory L. Slaughter

(Signature) Eric Pouyoul Date: 10-17-2000  
Name: Eric Pouyoul

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